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**Exam Questions CRISC**

Certified in Risk and Information Systems Control

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#### NEW QUESTION 1

- (Exam Topic 1)

A control for mitigating risk in a key business area cannot be implemented immediately. Which of the following is the risk practitioner's BEST course of action when a compensating control needs to be applied?

- A. Obtain the risk owner's approval.
- B. Record the risk as accepted in the risk register.
- C. Inform senior management.
- D. update the risk response plan.

**Answer:** A

#### NEW QUESTION 2

- (Exam Topic 1)

The acceptance of control costs that exceed risk exposure is MOST likely an example of:

- A. low risk tolerance.
- B. corporate culture misalignment.
- C. corporate culture alignment.
- D. high risk tolerance

**Answer:** B

#### NEW QUESTION 3

- (Exam Topic 1)

An organization has implemented a preventive control to lock user accounts after three unsuccessful login attempts. This practice has been proven to be unproductive, and a change in the control threshold value has been recommended. Who should authorize changing this threshold?

- A. Risk owner
- B. IT security manager
- C. IT system owner
- D. Control owner

**Answer:** D

#### NEW QUESTION 4

- (Exam Topic 1)

A systems interruption has been traced to a personal USB device plugged into the corporate network by an IT employee who bypassed internal control procedures. Of the following, who should be accountable?

- A. Business continuity manager (BCM)
- B. Human resources manager (HRM)
- C. Chief risk officer (CRO)
- D. Chief information officer (CIO)

**Answer:** D

#### NEW QUESTION 5

- (Exam Topic 1)

Which of the following is the MOST cost-effective way to test a business continuity plan?

- A. Conduct interviews with key stakeholders.
- B. Conduct a tabletop exercise.
- C. Conduct a disaster recovery exercise.
- D. Conduct a full functional exercise.

**Answer:** B

#### NEW QUESTION 6

- (Exam Topic 1)

Which of the following is the MOST effective key performance indicator (KPI) for change management?

- A. Percentage of changes with a fallback plan
- B. Number of changes implemented
- C. Percentage of successful changes
- D. Average time required to implement a change

**Answer:** C

#### NEW QUESTION 7

- (Exam Topic 1)

Which of the following would BEST help an enterprise prioritize risk scenarios?

- A. Industry best practices
- B. Placement on the risk map

- C. Degree of variances in the risk
- D. Cost of risk mitigation

**Answer:** B

#### NEW QUESTION 8

- (Exam Topic 1)

Which of the following roles would be MOST helpful in providing a high-level view of risk related to customer data loss?

- A. Customer database manager
- B. Customer data custodian
- C. Data privacy officer
- D. Audit committee

**Answer:** A

#### NEW QUESTION 9

- (Exam Topic 1)

A risk practitioner is summarizing the results of a high-profile risk assessment sponsored by senior management. The BEST way to support risk-based decisions by senior management would be to:

- A. map findings to objectives.
- B. provide a quantified detailed analysts.
- C. recommend risk tolerance thresholds.
- D. quantify key risk indicators (KRIs).

**Answer:** A

#### NEW QUESTION 10

- (Exam Topic 1)

An organization delegates its data processing to the internal IT team to manage information through its applications. Which of the following is the role of the internal IT team in this situation?

- A. Data controllers
- B. Data processors
- C. Data custodians
- D. Data owners

**Answer:** B

#### NEW QUESTION 10

- (Exam Topic 1)

Which of the following should be the risk practitioner s PRIMARY focus when determining whether controls are adequate to mitigate risk?

- A. Sensitivity analysis
- B. Level of residual risk
- C. Cost-benefit analysis
- D. Risk appetite

**Answer:** C

#### NEW QUESTION 15

- (Exam Topic 1)

Which of the following is the GREATEST concern associated with redundant data in an organization's inventory system?

- A. Poor access control
- B. Unnecessary data storage usage
- C. Data inconsistency
- D. Unnecessary costs of program changes

**Answer:** C

#### NEW QUESTION 20

- (Exam Topic 1)

Which of the following is the MAIN reason to continuously monitor IT-related risk?

- A. To redefine the risk appetite and risk tolerance levels based on changes in risk factors
- B. To update the risk register to reflect changes in levels of identified and new IT-related risk
- C. To ensure risk levels are within acceptable limits of the organization's risk appetite and risk tolerance
- D. To help identify root causes of incidents and recommend suitable long-term solutions

**Answer:** C

#### NEW QUESTION 25

- (Exam Topic 1)

The MOST important characteristic of an organization's policies is to reflect the organization's:

- A. risk assessment methodology.
- B. risk appetite.
- C. capabilities
- D. asset value.

**Answer: B**

#### NEW QUESTION 26

- (Exam Topic 1)

Which of the following is the MOST important element of a successful risk awareness training program?

- A. Customizing content for the audience
- B. Providing incentives to participants
- C. Mapping to a recognized standard
- D. Providing metrics for measurement

**Answer: A**

#### NEW QUESTION 28

- (Exam Topic 1)

IT risk assessments can BEST be used by management:

- A. for compliance with laws and regulations
- B. as a basis for cost-benefit analysis.
- C. as input for decision-making
- D. to measure organizational success.

**Answer: C**

#### NEW QUESTION 29

- (Exam Topic 1)

Which of the following risk management practices BEST facilitates the incorporation of IT risk scenarios into the enterprise-wide risk register?

- A. Key risk indicators (KRIs) are developed for key IT risk scenarios
- B. IT risk scenarios are assessed by the enterprise risk management team
- C. Risk appetites for IT risk scenarios are approved by key business stakeholders.
- D. IT risk scenarios are developed in the context of organizational objectives.

**Answer: D**

#### NEW QUESTION 34

- (Exam Topic 1)

A trusted third party service provider has determined that the risk of a client's systems being hacked is low. Which of the following would be the client's BEST course of action?

- A. Perform their own risk assessment
- B. Implement additional controls to address the risk.
- C. Accept the risk based on the third party's risk assessment
- D. Perform an independent audit of the third party.

**Answer: C**

#### NEW QUESTION 39

- (Exam Topic 1)

The PRIMARY benefit of maintaining an up-to-date risk register is that it helps to:

- A. implement uniform controls for common risk scenarios.
- B. ensure business unit risk is uniformly distributed.
- C. build a risk profile for management review.
- D. quantify the organization's risk appetite.

**Answer: C**

#### NEW QUESTION 42

- (Exam Topic 1)

Which of the following should be the HIGHEST priority when developing a risk response?

- A. The risk response addresses the risk with a holistic view.
- B. The risk response is based on a cost-benefit analysis.
- C. The risk response is accounted for in the budget.
- D. The risk response aligns with the organization's risk appetite.

**Answer: D**

#### NEW QUESTION 43

- (Exam Topic 1)

Which of the following is the MOST important outcome of reviewing the risk management process?

- A. Assuring the risk profile supports the IT objectives
- B. Improving the competencies of employees who performed the review
- C. Determining what changes should be made to IS policies to reduce risk
- D. Determining that procedures used in risk assessment are appropriate

**Answer:** A

#### NEW QUESTION 44

- (Exam Topic 1)

While reviewing a contract of a cloud services vendor, it was discovered that the vendor refuses to accept liability for a sensitive data breach. Which of the following controls will BEST reduce the risk associated with such a data breach?

- A. Ensuring the vendor does not know the encryption key
- B. Engaging a third party to validate operational controls
- C. Using the same cloud vendor as a competitor
- D. Using field-level encryption with a vendor supplied key

**Answer:** A

#### NEW QUESTION 47

- (Exam Topic 1)

Which of the following would BEST provide early warning of a high-risk condition?

- A. Risk register
- B. Risk assessment
- C. Key risk indicator (KRI)
- D. Key performance indicator (KPI)

**Answer:** C

#### NEW QUESTION 49

- (Exam Topic 1)

Which of the following is the BEST metric to demonstrate the effectiveness of an organization's change management process?

- A. Increase in the frequency of changes
- B. Percent of unauthorized changes
- C. Increase in the number of emergency changes
- D. Average time to complete changes

**Answer:** B

#### NEW QUESTION 51

- (Exam Topic 1)

An application owner has specified the acceptable downtime in the event of an incident to be much lower than the actual time required for the response team to recover the application. Which of the following should be the NEXT course of action?

- A. Invoke the disaster recovery plan during an incident.
- B. Prepare a cost-benefit analysis of alternatives available
- C. Implement redundant infrastructure for the application.
- D. Reduce the recovery time by strengthening the response team.

**Answer:** C

#### NEW QUESTION 52

- (Exam Topic 1)

A risk assessment has identified that an organization may not be in compliance with industry regulations. The BEST course of action would be to:

- A. conduct a gap analysis against compliance criteria.
- B. identify necessary controls to ensure compliance.
- C. modify internal assurance activities to include control validation.
- D. collaborate with management to meet compliance requirements.

**Answer:** A

#### NEW QUESTION 54

- (Exam Topic 1)

Risk mitigation procedures should include:

- A. buying an insurance policy.
- B. acceptance of exposures
- C. deployment of counter measures.
- D. enterprise architecture implementation.

**Answer:** C

**NEW QUESTION 56**

- (Exam Topic 1)

The PRIMARY advantage of implementing an IT risk management framework is the:

- A. establishment of a reliable basis for risk-aware decision making.
- B. compliance with relevant legal and regulatory requirements.
- C. improvement of controls within the organization and minimized losses.
- D. alignment of business goals with IT objectives.

**Answer:** A

**NEW QUESTION 59**

- (Exam Topic 1)

To reduce the risk introduced when conducting penetration tests, the BEST mitigating control would be to:

- A. require the vendor to sign a nondisclosure agreement
- B. clearly define the project scope.
- C. perform background checks on the vendor.
- D. notify network administrators before testing

**Answer:** A

**NEW QUESTION 62**

- (Exam Topic 1)

Which of the following is the BEST key performance indicator (KPI) to measure the maturity of an organization's security incident handling process?

- A. The number of security incidents escalated to senior management
- B. The number of resolved security incidents
- C. The number of newly identified security incidents
- D. The number of recurring security incidents

**Answer:** B

**NEW QUESTION 66**

- (Exam Topic 1)

Which of the following is the BEST approach to use when creating a comprehensive set of IT risk scenarios?

- A. Derive scenarios from IT risk policies and standards.
- B. Map scenarios to a recognized risk management framework.
- C. Gather scenarios from senior management.
- D. Benchmark scenarios against industry peers.

**Answer:** A

**NEW QUESTION 67**

- (Exam Topic 1)

The PRIMARY objective for selecting risk response options is to:

- A. reduce risk to an acceptable level.
- B. identify compensating controls.
- C. minimize residual risk.
- D. reduce risk factors.

**Answer:** A

**NEW QUESTION 69**

- (Exam Topic 1)

Which of the following is the MOST important key performance indicator (KPI) to establish in the service level agreement (SLA) for an outsourced data center?

- A. Percentage of systems included in recovery processes
- B. Number of key systems hosted
- C. Average response time to resolve system incidents
- D. Percentage of system availability

**Answer:** C

**NEW QUESTION 74**

- (Exam Topic 1)

Which of the following will BEST quantify the risk associated with malicious users in an organization?

- A. Business impact analysis
- B. Risk analysis
- C. Threat risk assessment

D. Vulnerability assessment

**Answer:** A

#### NEW QUESTION 77

- (Exam Topic 1)

Which of the following is the PRIMARY factor in determining a recovery time objective (RTO)?

- A. Cost of offsite backup premises
- B. Cost of downtime due to a disaster
- C. Cost of testing the business continuity plan
- D. Response time of the emergency action plan

**Answer:** B

#### NEW QUESTION 82

- (Exam Topic 1)

Reviewing results from which of the following is the BEST way to identify information systems control deficiencies?

- A. Vulnerability and threat analysis
- B. Control remediation planning
- C. User acceptance testing (UAT)
- D. Control self-assessment (CSA)

**Answer:** D

#### NEW QUESTION 87

- (Exam Topic 1)

Which of the following is the MOST important consideration when developing an organization's risk taxonomy?

- A. Leading industry frameworks
- B. Business context
- C. Regulatory requirements
- D. IT strategy

**Answer:** C

#### NEW QUESTION 89

- (Exam Topic 1)

Which of the following is MOST important to understand when determining an appropriate risk assessment approach?

- A. Complexity of the IT infrastructure
- B. Value of information assets
- C. Management culture
- D. Threats and vulnerabilities

**Answer:** A

#### NEW QUESTION 92

- (Exam Topic 1)

Which of the following is MOST important when developing key performance indicators (KPIs)?

- A. Alignment to risk responses
- B. Alignment to management reports
- C. Alerts when risk thresholds are reached
- D. Identification of trends

**Answer:** C

#### NEW QUESTION 95

- (Exam Topic 1)

Which of the following risk register updates is MOST important for senior management to review?

- A. Extending the date of a future action plan by two months
- B. Retiring a risk scenario no longer used
- C. Avoiding a risk that was previously accepted
- D. Changing a risk owner

**Answer:** A

#### NEW QUESTION 99

- (Exam Topic 1)

The MOST effective way to increase the likelihood that risk responses will be implemented is to:

- A. create an action plan

- B. assign ownership
- C. review progress reports
- D. perform regular audits.

**Answer:** B

#### NEW QUESTION 101

- (Exam Topic 1)

Which of the following controls will BEST detect unauthorized modification of data by a database administrator?

- A. Reviewing database access rights
- B. Reviewing database activity logs
- C. Comparing data to input records
- D. Reviewing changes to edit checks

**Answer:** B

#### NEW QUESTION 102

- (Exam Topic 1)

Which of the following should be the PRIMARY consideration when assessing the automation of control monitoring?

- A. impact due to failure of control
- B. Frequency of failure of control
- C. Contingency plan for residual risk
- D. Cost-benefit analysis of automation

**Answer:** D

#### NEW QUESTION 105

- (Exam Topic 1)

Which of the following is the GREATEST benefit of incorporating IT risk scenarios into the corporate risk register?

- A. Corporate incident escalation protocols are established.
- B. Exposure is integrated into the organization's risk profile.
- C. Risk appetite cascades to business unit management
- D. The organization-wide control budget is expanded.

**Answer:** B

#### NEW QUESTION 109

- (Exam Topic 1)

A key risk indicator (KRI) is reported to senior management on a periodic basis as exceeding thresholds, but each time senior management has decided to take no action to reduce the risk. Which of the following is the MOST likely reason for senior management's response?

- A. The underlying data source for the KRI is using inaccurate data and needs to be corrected.
- B. The KRI is not providing useful information and should be removed from the KRI inventory.
- C. The KRI threshold needs to be revised to better align with the organization's risk appetite
- D. Senior management does not understand the KRI and should undergo risk training.

**Answer:** C

#### NEW QUESTION 113

- (Exam Topic 1)

The PRIMARY reason a risk practitioner would be interested in an internal audit report is to:

- A. plan awareness programs for business managers.
- B. evaluate maturity of the risk management process.
- C. assist in the development of a risk profile.
- D. maintain a risk register based on noncompliances.

**Answer:** C

#### NEW QUESTION 118

- (Exam Topic 1)

Which of the following is the MAIN reason for documenting the performance of controls?

- A. Obtaining management sign-off
- B. Demonstrating effective risk mitigation
- C. Justifying return on investment
- D. Providing accurate risk reporting

**Answer:** D

#### NEW QUESTION 120

- (Exam Topic 1)

Which of the following attributes of a key risk indicator (KRI) is MOST important?

- A. Repeatable
- B. Automated
- C. Quantitative
- D. Qualitative

**Answer:** A

#### NEW QUESTION 124

- (Exam Topic 1)

A risk practitioner is organizing risk awareness training for senior management. Which of the following is the MOST important topic to cover in the training session?

- A. The organization's strategic risk management projects
- B. Senior management roles and responsibilities
- C. The organizations risk appetite and tolerance
- D. Senior management allocation of risk management resources

**Answer:** B

#### NEW QUESTION 129

- (Exam Topic 1)

Which of the following is the MOST important characteristic of an effective risk management program?

- A. Risk response plans are documented
- B. Controls are mapped to key risk scenarios.
- C. Key risk indicators are defined.
- D. Risk ownership is assigned

**Answer:** D

#### NEW QUESTION 134

- (Exam Topic 1)

Which of the following would be the BEST recommendation if the level of risk in the IT risk profile has decreased and is now below management's risk appetite?

- A. Optimize the control environment.
- B. Realign risk appetite to the current risk level.
- C. Decrease the number of related risk scenarios.
- D. Reduce the risk management budget.

**Answer:** A

#### NEW QUESTION 139

- (Exam Topic 1)

Which of the following is the MOST critical element to maximize the potential for a successful security implementation?

- A. The organization's knowledge
- B. Ease of implementation
- C. The organization's culture
- D. industry-leading security tools

**Answer:** C

#### NEW QUESTION 140

- (Exam Topic 1)

Which of the following is the MOST important consideration for a risk practitioner when making a system implementation go-live recommendation?

- A. Completeness of system documentation
- B. Results of end user acceptance testing
- C. Variances between planned and actual cost
- D. availability of in-house resources

**Answer:** B

#### NEW QUESTION 141

- (Exam Topic 1)

Which of the following is the GREATEST benefit of analyzing logs collected from different systems?

- A. A record of incidents is maintained.
- B. Forensic investigations are facilitated.
- C. Security violations can be identified.
- D. Developing threats are detected earlier.

**Answer:** C

#### NEW QUESTION 146

- (Exam Topic 1)

An organization wants to assess the maturity of its internal control environment. The FIRST step should be to:

- A. validate control process execution.
- B. determine if controls are effective.
- C. identify key process owners.
- D. conduct a baseline assessment.

**Answer: C**

#### NEW QUESTION 151

- (Exam Topic 1)

Which of the following would MOST effectively enable a business operations manager to identify events exceeding risk thresholds?

- A. Continuous monitoring
- B. A control self-assessment
- C. Transaction logging
- D. Benchmarking against peers

**Answer: A**

#### NEW QUESTION 152

- (Exam Topic 1)

Which of the following roles is BEST suited to help a risk practitioner understand the impact of IT-related events on business objectives?

- A. IT management
- B. Internal audit
- C. Process owners
- D. Senior management

**Answer: C**

#### NEW QUESTION 157

- (Exam Topic 1)

Which of the following should be the PRIMARY input when designing IT controls?

- A. Benchmark of industry standards
- B. Internal and external risk reports
- C. Recommendations from IT risk experts
- D. Outcome of control self-assessments

**Answer: B**

#### NEW QUESTION 160

- (Exam Topic 1)

Which of the following elements of a risk register is MOST likely to change as a result of change in management's risk appetite?

- A. Key risk indicator (KRI) thresholds
- B. Inherent risk
- C. Risk likelihood and impact
- D. Risk velocity

**Answer: A**

#### NEW QUESTION 162

- (Exam Topic 1)

Which of the following is the MOST important benefit of key risk indicators (KRIs)?

- A. Assisting in continually optimizing risk governance
- B. Enabling the documentation and analysis of trends
- C. Ensuring compliance with regulatory requirements
- D. Providing an early warning to take proactive actions

**Answer: D**

#### NEW QUESTION 165

- (Exam Topic 1)

A newly hired risk practitioner finds that the risk register has not been updated in the past year. What is the risk practitioner's BEST course of action?

- A. Identify changes in risk factors and initiate risk reviews.
- B. Engage an external consultant to redesign the risk management process.
- C. Outsource the process for updating the risk register.
- D. Implement a process improvement and replace the old risk register.

**Answer: A**

#### NEW QUESTION 168

- (Exam Topic 1)

Which of the following would be the BEST key performance indicator (KPI) for monitoring the effectiveness of the IT asset management process?

- A. Percentage of unpatched IT assets
- B. Percentage of IT assets without ownership
- C. The number of IT assets securely disposed during the past year
- D. The number of IT assets procured during the previous month

**Answer:** B

#### NEW QUESTION 170

- (Exam Topic 1)

Which of the following tools is MOST effective in identifying trends in the IT risk profile?

- A. Risk self-assessment
- B. Risk register
- C. Risk dashboard
- D. Risk map

**Answer:** C

#### NEW QUESTION 175

- (Exam Topic 1)

The MAIN purpose of conducting a control self-assessment (CSA) is to:

- A. gain a better understanding of the control effectiveness in the organization
- B. gain a better understanding of the risk in the organization
- C. adjust the controls prior to an external audit
- D. reduce the dependency on external audits

**Answer:** A

#### NEW QUESTION 180

- (Exam Topic 1)

Which of the following roles would provide the MOST important input when identifying IT risk scenarios?

- A. Information security managers
- B. Internal auditors
- C. Business process owners
- D. Operational risk managers

**Answer:** C

#### NEW QUESTION 183

- (Exam Topic 1)

Which of the following is the PRIMARY reason to perform ongoing risk assessments?

- A. Emerging risk must be continuously reported to management.
- B. New system vulnerabilities emerge at frequent intervals.
- C. The risk environment is subject to change.
- D. The information security budget must be justified.

**Answer:** C

#### NEW QUESTION 184

- (Exam Topic 1)

An organization that has been the subject of multiple social engineering attacks is developing a risk awareness program. The PRIMARY goal of this program should be to:

- A. reduce the risk to an acceptable level.
- B. communicate the consequences for violations.
- C. implement industry best practices.
- D. reduce the organization's risk appetite

**Answer:** B

#### NEW QUESTION 188

- (Exam Topic 1)

Which of the following is the MOST important consideration when sharing risk management updates with executive management?

- A. Using an aggregated view of organizational risk
- B. Ensuring relevance to organizational goals
- C. Relying on key risk indicator (KRI) data Including
- D. Trend analysis of risk metrics

**Answer:**

B

#### NEW QUESTION 192

- (Exam Topic 1)

IT management has asked for a consolidated view into the organization's risk profile to enable project prioritization and resource allocation. Which of the following materials would be MOST helpful?

- A. IT risk register
- B. List of key risk indicators
- C. Internal audit reports
- D. List of approved projects

**Answer:** A

#### NEW QUESTION 197

- (Exam Topic 1)

Which of the following is the BEST method to ensure a terminated employee's access to IT systems is revoked upon departure from the organization?

- A. Login attempts are reconciled to a list of terminated employees.
- B. A list of terminated employees is generated for reconciliation against current IT access.
- C. A process to remove employee access during the exit interview is implemented.
- D. The human resources (HR) system automatically revokes system access.

**Answer:** D

#### NEW QUESTION 202

- (Exam Topic 1)

Which of the following is the BEST way to validate the results of a vulnerability assessment?

- A. Perform a penetration test.
- B. Review security logs.
- C. Conduct a threat analysis.
- D. Perform a root cause analysis.

**Answer:** A

#### NEW QUESTION 204

- (Exam Topic 1)

Which of the following would BEST help to ensure that identified risk is efficiently managed?

- A. Reviewing the maturity of the control environment
- B. Regularly monitoring the project plan
- C. Maintaining a key risk indicator for each asset in the risk register
- D. Periodically reviewing controls per the risk treatment plan

**Answer:** D

#### NEW QUESTION 208

- (Exam Topic 1)

A risk assessment has identified that departments have installed their own WiFi access points on the enterprise network. Which of the following would be MOST important to include in a report to senior management?

- A. The network security policy
- B. Potential business impact
- C. The WiFi access point configuration
- D. Planned remediation actions

**Answer:** B

#### NEW QUESTION 213

- (Exam Topic 1)

The BEST way to justify the risk mitigation actions recommended in a risk assessment would be to:

- A. align with audit results.
- B. benchmark with competitor s actions.
- C. reference best practice.
- D. focus on the business drivers

**Answer:** D

#### NEW QUESTION 214

- (Exam Topic 1)

Which of the following should be included in a risk scenario to be used for risk analysis?

- A. Risk appetite

- B. Threat type
- C. Risk tolerance
- D. Residual risk

**Answer:** B

#### NEW QUESTION 215

- (Exam Topic 1)

A risk manager has determined there is excessive risk with a particular technology. Who is the BEST person to own the unmitigated risk of the technology?

- A. IT system owner
- B. Chief financial officer
- C. Chief risk officer
- D. Business process owner

**Answer:** D

#### NEW QUESTION 219

- (Exam Topic 1)

Which of the following would provide the BEST guidance when selecting an appropriate risk treatment plan?

- A. Risk mitigation budget
- B. Business Impact analysis
- C. Cost-benefit analysis
- D. Return on investment

**Answer:** B

#### NEW QUESTION 221

- (Exam Topic 1)

The BEST reason to classify IT assets during a risk assessment is to determine the:

- A. priority in the risk register.
- B. business process owner.
- C. enterprise risk profile.
- D. appropriate level of protection.

**Answer:** D

#### NEW QUESTION 225

- (Exam Topic 1)

Which of the following issues should be of GREATEST concern when evaluating existing controls during a risk assessment?

- A. A high number of approved exceptions exist with compensating controls.
- B. Successive assessments have the same recurring vulnerabilities.
- C. Redundant compensating controls are in place.
- D. Asset custodians are responsible for defining controls instead of asset owners.

**Answer:** D

#### NEW QUESTION 229

- (Exam Topic 1)

Which of the following aspects of an IT risk and control self-assessment would be MOST important to include in a report to senior management?

- A. Changes in control design
- B. A decrease in the number of key controls
- C. Changes in control ownership
- D. An increase in residual risk

**Answer:** D

#### NEW QUESTION 232

- (Exam Topic 1)

A PRIMARY advantage of involving business management in evaluating and managing risk is that management:

- A. better understands the system architecture.
- B. is more objective than risk management.
- C. can balance technical and business risk.
- D. can make better informed business decisions.

**Answer:** D

#### NEW QUESTION 235

- (Exam Topic 1)

Which of the following would BEST help to ensure that suspicious network activity is identified?

- A. Analyzing intrusion detection system (IDS) logs
- B. Analyzing server logs
- C. Using a third-party monitoring provider
- D. Coordinating events with appropriate agencies

**Answer:** A

#### NEW QUESTION 237

- (Exam Topic 1)

Senior management has asked a risk practitioner to develop technical risk scenarios related to a recently developed enterprise resource planning (ERP) system. These scenarios will be owned by the system manager. Which of the following would be the BEST method to use when developing the scenarios?

- A. Cause-and-effect diagram
- B. Delphi technique
- C. Bottom-up approach
- D. Top-down approach

**Answer:** A

#### NEW QUESTION 240

- (Exam Topic 1)

Which of the following is MOST critical when designing controls?

- A. Involvement of internal audit
- B. Involvement of process owner
- C. Quantitative impact of the risk
- D. Identification of key risk indicators

**Answer:** B

#### NEW QUESTION 243

- (Exam Topic 1)

A risk practitioners PRIMARY focus when validating a risk response action plan should be that risk response:

- A. reduces risk to an acceptable level
- B. quantifies risk impact
- C. aligns with business strategy
- D. advances business objectives.

**Answer:** A

#### NEW QUESTION 245

- (Exam Topic 1)

Which of the following techniques would be used during a risk assessment to demonstrate to stakeholders that all known alternatives were evaluated?

- A. Control chart
- B. Sensitivity analysis
- C. Trend analysis
- D. Decision tree

**Answer:** D

#### NEW QUESTION 247

- (Exam Topic 1)

Which of the following would be MOST useful when measuring the progress of a risk response action plan?

- A. Percentage of mitigated risk scenarios
- B. Annual loss expectancy (ALE) changes
- C. Resource expenditure against budget
- D. An up-to-date risk register

**Answer:** D

#### NEW QUESTION 251

- (Exam Topic 1)

A rule-based data loss prevention (DLP) tool has recently been implemented to reduce the risk of sensitive data leakage. Which of the following is MOST likely to change as a result of this implementation?

- A. Risk likelihood
- B. Risk velocity
- C. Risk appetite
- D. Risk impact

**Answer:** A

#### NEW QUESTION 254

- (Exam Topic 1)

An effective control environment is BEST indicated by controls that:

- A. minimize senior management's risk tolerance.
- B. manage risk within the organization's risk appetite.
- C. reduce the thresholds of key risk indicators (KRIs).
- D. are cost-effective to implement

**Answer:** B

#### NEW QUESTION 258

- (Exam Topic 1)

What is the PRIMARY reason to periodically review key performance indicators (KPIs)?

- A. Ensure compliance.
- B. Identify trends.
- C. Promote a risk-aware culture.
- D. Optimize resources needed for controls

**Answer:** B

#### NEW QUESTION 261

- (Exam Topic 1)

An IT risk practitioner has determined that mitigation activities differ from an approved risk action plan. Which of the following is the risk practitioner's BEST course of action?

- A. Report the observation to the chief risk officer (CRO).
- B. Validate the adequacy of the implemented risk mitigation measures.
- C. Update the risk register with the implemented risk mitigation actions.
- D. Revert the implemented mitigation measures until approval is obtained

**Answer:** A

#### NEW QUESTION 264

- (Exam Topic 1)

After undertaking a risk assessment of a production system, the MOST appropriate action is for the risk manager to:

- A. recommend a program that minimizes the concerns of that production system.
- B. inform the development team of the concerns, and together formulate risk reduction measures.
- C. inform the process owner of the concerns and propose measures to reduce them
- D. inform the IT manager of the concerns and propose measures to reduce them.

**Answer:** A

#### NEW QUESTION 265

- (Exam Topic 1)

In addition to the risk register, what should a risk practitioner review to develop an understanding of the organization's risk profile?

- A. The control catalog
- B. The asset profile
- C. Business objectives
- D. Key risk indicators (KRIs)

**Answer:** C

#### NEW QUESTION 268

- (Exam Topic 1)

Which of the following should be management's PRIMARY consideration when approving risk response action plans?

- A. Ability of the action plans to address multiple risk scenarios
- B. Ease of implementing the risk treatment solution
- C. Changes in residual risk after implementing the plans
- D. Prioritization for implementing the action plans

**Answer:** D

#### NEW QUESTION 269

- (Exam Topic 1)

Which of the following is the MOST important factor affecting risk management in an organization?

- A. The risk manager's expertise
- B. Regulatory requirements
- C. Board of directors' expertise
- D. The organization's culture

**Answer:**

B

#### NEW QUESTION 270

- (Exam Topic 1)

A review of an organization's controls has determined its data loss prevention (DLP) system is currently failing to detect outgoing emails containing credit card data. Which of the following would be MOST impacted?

- A. Key risk indicators (KRIs)
- B. Inherent risk
- C. Residual risk
- D. Risk appetite

**Answer: C**

#### NEW QUESTION 274

- (Exam Topic 1)

A risk practitioner observes that hardware failure incidents have been increasing over the last few months. However, due to built-in redundancy and fault-tolerant architecture, there have been no interruptions to business operations. The risk practitioner should conclude that:

- A. a root cause analysis is required
- B. controls are effective for ensuring continuity
- C. hardware needs to be upgraded
- D. no action is required as there was no impact

**Answer: A**

#### NEW QUESTION 278

- (Exam Topic 1)

Who is BEST suited to determine whether a new control properly mitigates data loss risk within a system?

- A. Data owner
- B. Control owner
- C. Risk owner
- D. System owner

**Answer: B**

#### NEW QUESTION 280

- (Exam Topic 1)

An organization has identified a risk exposure due to weak technical controls in a newly implemented HR system. The risk practitioner is documenting the risk in the risk register. The risk should be owned by the:

- A. chief risk officer.
- B. project manager.
- C. chief information officer.
- D. business process owner.

**Answer: D**

#### NEW QUESTION 282

- (Exam Topic 1)

Who is the MOST appropriate owner for newly identified IT risk?

- A. The manager responsible for IT operations that will support the risk mitigation efforts
- B. The individual with authority to commit organizational resources to mitigate the risk
- C. A project manager capable of prioritizing the risk remediation efforts
- D. The individual with the most IT risk-related subject matter knowledge

**Answer: B**

#### NEW QUESTION 283

- (Exam Topic 2)

Which of the following is MOST important when discussing risk within an organization?

- A. Adopting a common risk taxonomy
- B. Using key performance indicators (KPIs)
- C. Creating a risk communication policy
- D. Using key risk indicators (KRIs)

**Answer: A**

#### NEW QUESTION 284

- (Exam Topic 2)

Which of the following is the BEST key performance indicator (KPI) for determining how well an IT policy is aligned to business requirements?

- A. Total cost to support the policy

- B. Number of exceptions to the policy
- C. Total cost of policy breaches
- D. Number of inquiries regarding the policy

**Answer:** C

#### NEW QUESTION 288

- (Exam Topic 2)

The PRIMARY objective for requiring an independent review of an organization's IT risk management process should be to:

- A. assess gaps in IT risk management operations and strategic focus.
- B. confirm that IT risk assessment results are expressed as business impact.
- C. verify implemented controls to reduce the likelihood of threat materialization.
- D. ensure IT risk management is focused on mitigating potential risk.

**Answer:** A

#### NEW QUESTION 290

- (Exam Topic 2)

Which of the following is MOST important when developing key risk indicators (KRIs)?

- A. Alignment with regulatory requirements
- B. Availability of qualitative data
- C. Properly set thresholds
- D. Alignment with industry benchmarks

**Answer:** C

#### NEW QUESTION 293

- (Exam Topic 2)

The PRIMARY reason for establishing various Threshold levels for a set of key risk indicators (KRIs) is to:

- A. highlight trends of developing risk.
- B. ensure accurate and reliable monitoring.
- C. take appropriate actions in a timely manner.
- D. set different triggers for each stakeholder.

**Answer:** B

#### NEW QUESTION 297

- (Exam Topic 2)

A maturity model will BEST indicate:

- A. confidentiality and integrity.
- B. effectiveness and efficiency.
- C. availability and reliability.
- D. certification and accreditation.

**Answer:** B

#### NEW QUESTION 301

- (Exam Topic 2)

Controls should be defined during the design phase of system development because:

- A. it is more cost-effective to determine controls in the early design phase.
- B. structured analysis techniques exclude identification of controls.
- C. structured programming techniques require that controls be designed before coding begins.
- D. technical specifications are defined during this phase.

**Answer:** D

#### NEW QUESTION 306

- (Exam Topic 2)

Which of the following should be of GREATEST concern to a risk practitioner when determining the effectiveness of IT controls?

- A. Configuration updates do not follow formal change control.
- B. Operational staff perform control self-assessments.
- C. Controls are selected without a formal cost-benefit
- D. analysis-Management reviews security policies once every two years.

**Answer:** A

#### NEW QUESTION 307

- (Exam Topic 2)

An organization has initiated a project to implement an IT risk management program for the first time. The BEST time for the risk practitioner to start populating the

risk register is when:

- A. identifying risk scenarios.
- B. determining the risk strategy.
- C. calculating impact and likelihood.
- D. completing the controls catalog.

**Answer:** A

#### NEW QUESTION 308

- (Exam Topic 2)

An application runs a scheduled job that compiles financial data from multiple business systems and updates the financial reporting system. If this job runs too long, it can delay financial reporting. Which of the following is the risk practitioner's BEST recommendation?

- A. Implement database activity and capacity monitoring.
- B. Ensure the business is aware of the risk.
- C. Ensure the enterprise has a process to detect such situations.
- D. Consider providing additional system resources to this job.

**Answer:** B

#### NEW QUESTION 312

- (Exam Topic 2)

Which of the following will BEST help an organization evaluate the control environment of several third-party vendors?

- A. Review vendors' internal risk assessments covering key risk and controls.
- B. Obtain independent control reports from high-risk vendors.
- C. Review vendors performance metrics on quality and delivery of processes.
- D. Obtain vendor references from third parties.

**Answer:** B

#### NEW QUESTION 313

- (Exam Topic 2)

A large organization is replacing its enterprise resource planning (ERP) system and has decided not to deploy the payroll module of the new system. Instead, the current payroll system will continue to be used. Of the following, who should own the risk if the ERP and payroll system fail to operate as expected?

- A. The business owner
- B. The ERP administrator
- C. The project steering committee
- D. The IT project manager

**Answer:** A

#### NEW QUESTION 318

- (Exam Topic 2)

An organization's financial analysis department uses an in-house forecasting application for business projections. Who is responsible for defining access roles to protect the sensitive data within this application?

- A. IT risk manager
- B. IT system owner
- C. Information security manager
- D. Business owner

**Answer:** D

#### NEW QUESTION 323

- (Exam Topic 2)

Which of the following should be the MOST important consideration when performing a vendor risk assessment?

- A. Results of the last risk assessment of the vendor
- B. Inherent risk of the business process supported by the vendor
- C. Risk tolerance of the vendor
- D. Length of time since the last risk assessment of the vendor

**Answer:** B

#### NEW QUESTION 325

- (Exam Topic 2)

A risk practitioner notices that a particular key risk indicator (KRI) has remained below its established trigger point for an extended period of time. Which of the following should be done FIRST?

- A. Recommend a re-evaluation of the current threshold of the KRI.
- B. Notify management that KRIs are being effectively managed.
- C. Update the risk rating associated with the KRI in the risk register.
- D. Update the risk tolerance and risk appetite to better align to the KRI.

**Answer:** A

**NEW QUESTION 326**

- (Exam Topic 2)

When collecting information to identify IT-related risk, a risk practitioner should FIRST focus on IT:

- A. risk appetite.
- B. security policies
- C. process maps.
- D. risk tolerance level

**Answer:** B

**NEW QUESTION 327**

- (Exam Topic 2)

Which of the following statements BEST describes risk appetite?

- A. The amount of risk an organization is willing to accept
- B. The effective management of risk and internal control environments
- C. Acceptable variation between risk thresholds and business objectives
- D. The acceptable variation relative to the achievement of objectives

**Answer:** A

**NEW QUESTION 328**

- (Exam Topic 2)

Quantifying the value of a single asset helps the organization to understand the:

- A. overall effectiveness of risk management
- B. consequences of risk materializing
- C. necessity of developing a risk strategy,
- D. organization's risk threshold.

**Answer:** B

**NEW QUESTION 333**

- (Exam Topic 2)

Which of the following is MOST helpful in aligning IT risk with business objectives?

- A. Introducing an approved IT governance framework
- B. Integrating the results of top-down risk scenario analyses
- C. Performing a business impact analysis (BIA)
- D. Implementing a risk classification system

**Answer:** A

**NEW QUESTION 335**

- (Exam Topic 2)

Which of the following should be the PRIMARY objective of a risk awareness training program?

- A. To enable risk-based decision making
- B. To promote awareness of the risk governance function
- C. To clarify fundamental risk management principles
- D. To ensure sufficient resources are available

**Answer:** A

**NEW QUESTION 340**

- (Exam Topic 2)

A bank wants to send a critical payment order via email to one of its offshore branches. Which of the following is the BEST way to ensure the message reaches the intended recipient without alteration?

- A. Add a digital certificate
- B. Apply multi-factor authentication
- C. Add a hash to the message
- D. Add a secret key

**Answer:** C

**NEW QUESTION 342**

- (Exam Topic 2)

Which of the following is MOST important for an organization to have in place when developing a risk management framework?

- A. A strategic approach to risk including an established risk appetite
- B. A risk-based internal audit plan for the organization

- C. A control function within the risk management team
- D. An organization-wide risk awareness training program

**Answer:** A

#### NEW QUESTION 347

- (Exam Topic 2)

An organization has decided to implement an emerging technology and incorporate the new capabilities into its strategic business plan. Business operations for the technology will be outsourced. What will be the risk practitioner's PRIMARY role during the change?

- A. Managing third-party risk
- B. Developing risk scenarios
- C. Managing the threat landscape
- D. Updating risk appetite

**Answer:** B

#### NEW QUESTION 351

- (Exam Topic 2)

A new policy has been published to forbid copying of data onto removable media. Which type of control has been implemented?

- A. Preventive
- B. Detective
- C. Directive
- D. Deterrent

**Answer:** C

#### NEW QUESTION 352

- (Exam Topic 2)

Which of the following BEST measures the efficiency of an incident response process?

- A. Number of incidents escalated to management
- B. Average time between changes and updating of escalation matrix
- C. Average gap between actual and agreed response times
- D. Number of incidents lacking responses

**Answer:** C

#### NEW QUESTION 356

- (Exam Topic 2)

A risk practitioner observes that the fraud detection controls in an online payment system do not perform as expected. Which of the following will MOST likely change as a result?

- A. Impact
- B. Residual risk
- C. Inherent risk
- D. Risk appetite

**Answer:** B

#### NEW QUESTION 358

- (Exam Topic 2)

As part of an overall IT risk management plan, an IT risk register BEST helps management:

- A. align IT processes with business objectives.
- B. communicate the enterprise risk management policy.
- C. stay current with existing control status.
- D. understand the organizational risk profile.

**Answer:** D

#### NEW QUESTION 362

- (Exam Topic 2)

When prioritizing risk response, management should FIRST:

- A. evaluate the organization's ability and expertise to implement the solution.
- B. evaluate the risk response of similar organizations.
- C. address high risk factors that have efficient and effective solutions.
- D. determine which risk factors have high remediation costs

**Answer:** C

#### NEW QUESTION 367

- (Exam Topic 2)

A PRIMARY function of the risk register is to provide supporting information for the development of an organization's risk:

- A. strategy.
- B. profile.
- C. process.
- D. map.

**Answer:** A

#### NEW QUESTION 369

- (Exam Topic 2)

The PRIMARY objective of The board of directors periodically reviewing the risk profile is to help ensure:

- A. the risk strategy is appropriate
- B. KRIs and KPIs are aligned
- C. performance of controls is adequate
- D. the risk monitoring process has been established

**Answer:** B

#### NEW QUESTION 373

- (Exam Topic 2)

Deviation from a mitigation action plan's completion date should be determined by which of the following?

- A. Change management as determined by a change control board
- B. Benchmarking analysis with similar completed projects
- C. Project governance criteria as determined by the project office
- D. The risk owner as determined by risk management processes

**Answer:** D

#### NEW QUESTION 378

- (Exam Topic 2)

An organization has identified that terminated employee accounts are not disabled or deleted within the time required by corporate policy. Unsure of the reason, the organization has decided to monitor the situation for three months to obtain more information. As a result of this decision, the risk has been:

- A. avoided.
- B. accepted.
- C. mitigated.
- D. transferred.

**Answer:** B

#### NEW QUESTION 379

- (Exam Topic 2)

An organization has recently updated its disaster recovery plan (DRP). Which of the following would be the GREATEST risk if the new plan is not tested?

- A. External resources may need to be involved.
- B. Data privacy regulations may be violated.
- C. Recovery costs may increase significantly.
- D. Service interruptions may be longer than anticipated.

**Answer:** D

#### NEW QUESTION 380

- (Exam Topic 2)

An organization with a large number of applications wants to establish a security risk assessment program. Which of the following would provide the MOST useful information when determining the frequency of risk assessments?

- A. Feedback from end users
- B. Results of a benchmark analysis
- C. Recommendations from internal audit
- D. Prioritization from business owners

**Answer:** D

#### NEW QUESTION 382

- (Exam Topic 2)

Which of the following BEST promotes commitment to controls?

- A. Assigning control ownership
- B. Assigning appropriate resources
- C. Assigning a quality control review
- D. Performing regular independent control reviews

**Answer:** A

#### NEW QUESTION 383

- (Exam Topic 2)

Which of the following is MOST important to have in place to ensure the effectiveness of risk and security metrics reporting?

- A. Organizational reporting process
- B. Incident reporting procedures
- C. Regularly scheduled audits
- D. Incident management policy

**Answer:** C

#### NEW QUESTION 385

- (Exam Topic 2)

Which of the following is a detective control?

- A. Limit check
- B. Periodic access review
- C. Access control software
- D. Rerun procedures

**Answer:** B

#### NEW QUESTION 389

- (Exam Topic 2)

Management has required information security awareness training to reduce the risk associated with credential compromise. What is the BEST way to assess the effectiveness of the training?

- A. Conduct social engineering testing.
- B. Audit security awareness training materials.
- C. Administer an end-of-training quiz.
- D. Perform a vulnerability assessment.

**Answer:** A

#### NEW QUESTION 394

- (Exam Topic 2)

IT stakeholders have asked a risk practitioner for IT risk profile reports associated with specific departments to allocate resources for risk mitigation. The BEST way to address this request would be to use:

- A. the cost associated with each control.
- B. historical risk assessments.
- C. key risk indicators (KRIs).
- D. information from the risk register.

**Answer:** D

#### NEW QUESTION 399

- (Exam Topic 2)

Which of the following is MOST important for a risk practitioner to consider when evaluating plans for changes to IT services?

- A. Change testing schedule
- B. Impact assessment of the change
- C. Change communication plan
- D. User acceptance testing (UAT)

**Answer:** D

#### NEW QUESTION 401

- (Exam Topic 2)

Which of the following would provide the MOST objective assessment of the effectiveness of an organization's security controls?

- A. An internal audit
- B. Security operations center review
- C. Internal penetration testing
- D. A third-party audit

**Answer:** A

#### NEW QUESTION 406

- (Exam Topic 2)

Which of the following is the BEST evidence that a user account has been properly authorized?

- A. An email from the user accepting the account
- B. Notification from human resources that the account is active
- C. User privileges matching the request form
- D. Formal approval of the account by the user's manager

**Answer:** C

**NEW QUESTION 407**

- (Exam Topic 2)

Which of the following BEST helps to balance the costs and benefits of managing IT risk?

- A. Prioritizing risk responses
- B. Evaluating risk based on frequency and probability
- C. Considering risk factors that can be quantified
- D. Managing the risk by using controls

**Answer:** A

**NEW QUESTION 411**

- (Exam Topic 2)

After identifying new risk events during a project, the project manager's NEXT step should be to:

- A. determine if the scenarios need to be accepted or responded to.
- B. record the scenarios into the risk register.
- C. continue with a qualitative risk analysis.
- D. continue with a quantitative risk analysis.

**Answer:** A

**NEW QUESTION 413**

- (Exam Topic 2)

When updating a risk register with the results of an IT risk assessment, the risk practitioner should log:

- A. high impact scenarios.
- B. high likelihood scenarios.
- C. treated risk scenarios.
- D. known risk scenarios.

**Answer:** D

**NEW QUESTION 418**

- (Exam Topic 2)

Which of the following is the BEST indicator of the effectiveness of IT risk management processes?

- A. Percentage of business users completing risk training
- B. Percentage of high-risk scenarios for which risk action plans have been developed
- C. Number of key risk indicators (KRIs) defined
- D. Time between when IT risk scenarios are identified and the enterprise's response

**Answer:** C

**NEW QUESTION 420**

- (Exam Topic 2)

A newly enacted information privacy law significantly increases financial penalties for breaches of personally identifiable information (PII). Which of the following will MOST likely outcome for an organization affected by the new law?

- A. Increase in compliance breaches
- B. Increase in loss event impact
- C. Increase in residual risk
- D. Increase in customer complaints

**Answer:** B

**NEW QUESTION 422**

- (Exam Topic 2)

A risk practitioner is reporting on an increasing trend of ransomware attacks in the industry. Which of the following information is MOST important to include to enable an informed response decision by key stakeholders?

- A. Methods of attack progression
- B. Losses incurred by industry peers
- C. Most recent antivirus scan reports
- D. Potential impact of events

**Answer:** D

**NEW QUESTION 427**

- (Exam Topic 2)

What should be the PRIMARY objective for a risk practitioner performing a post-implementation review of an IT risk mitigation project?

- A. Documenting project lessons learned

- B. Validating the risk mitigation project has been completed
- C. Confirming that the project budget was not exceeded
- D. Verifying that the risk level has been lowered

**Answer:** A

#### NEW QUESTION 431

- (Exam Topic 2)

An audit reveals that there are changes in the environment that are not reflected in the risk profile. Which of the following is the BEST course of action?

- A. Review the risk identification process.
- B. Inform the risk scenario owners.
- C. Create a risk awareness communication plan.
- D. Update the risk register.

**Answer:** A

#### NEW QUESTION 436

- (Exam Topic 2)

What can be determined from the risk scenario chart?

Project Name	Initial Risk Rating	Residual Risk Rating	Project Cost
Sierra	Medium	Low	Low
Tango	Medium	Low	Medium
Uniform	High	High	High
Victor	High	Medium	Medium

- A. Relative positions on the risk map
- B. Risk treatment options
- C. Capability of enterprise to implement
- D. The multiple risk factors addressed by a chosen response

**Answer:** A

#### NEW QUESTION 438

- (Exam Topic 2)

Which of the following provides the MOST important information to facilitate a risk response decision?

- A. Audit findings
- B. Risk appetite
- C. Key risk indicators
- D. Industry best practices

**Answer:** B

#### NEW QUESTION 442

- (Exam Topic 2)

Sensitive data has been lost after an employee inadvertently removed a file from the premises, in violation of organizational policy. Which of the following controls MOST likely failed?

- A. Background checks
- B. Awareness training
- C. User access
- D. Policy management

**Answer:** C

#### NEW QUESTION 444

- (Exam Topic 2)

The BEST way to improve a risk register is to ensure the register:

- A. is updated based upon significant events.
- B. documents possible countermeasures.
- C. contains the risk assessment completion date.
- D. is regularly audited.

**Answer:** D

#### NEW QUESTION 446

- (Exam Topic 2)

Which of the following is the MOST effective way to integrate business risk management with IT operations?

- A. Perform periodic IT control self-assessments.
- B. Require a risk assessment with change requests.
- C. Provide security awareness training.
- D. Perform periodic risk assessments.

**Answer:** D

#### NEW QUESTION 450

- (Exam Topic 2)

An IT operations team implements disaster recovery controls based on decisions from application owners regarding the level of resiliency needed. Who is the risk owner in this scenario?

- A. Business resilience manager
- B. Disaster recovery team lead
- C. Application owner
- D. IT operations manager

**Answer:** C

#### NEW QUESTION 453

- (Exam Topic 2)

A risk owner has identified a risk with high impact and very low likelihood. The potential loss is covered by insurance. Which of the following should the risk practitioner do NEXT?

- A. Recommend avoiding the risk.
- B. Validate the risk response with internal audit.
- C. Update the risk register.
- D. Evaluate outsourcing the process.

**Answer:** B

#### NEW QUESTION 457

- (Exam Topic 2)

A peer review of a risk assessment finds that a relevant threat community was not included. Mitigation of the risk will require substantial changes to a software application. Which of the following is the BEST course of action?

- A. Ask the business to make a budget request to remediate the problem.
- B. Build a business case to remediate the fix.
- C. Research the types of attacks the threat can present.
- D. Determine the impact of the missing threat.

**Answer:** D

#### NEW QUESTION 458

- (Exam Topic 2)

To help ensure all applicable risk scenarios are incorporated into the risk register, it is MOST important to review the:

- A. risk mitigation approach
- B. cost-benefit analysis.
- C. risk assessment results.
- D. vulnerability assessment results

**Answer:** C

#### NEW QUESTION 460

- (Exam Topic 2)

A risk owner should be the person accountable for:

- A. the risk management process
- B. managing controls.
- C. implementing actions.
- D. the business process.

**Answer:** D

#### NEW QUESTION 464

- (Exam Topic 2)

Which of the following is the GREATEST risk associated with the use of data analytics?

- A. Distributed data sources
- B. Manual data extraction
- C. Incorrect data selection
- D. Excessive data volume

**Answer:** C

#### NEW QUESTION 465

- (Exam Topic 2)

The BEST criteria when selecting a risk response is the:

- A. capability to implement the response
- B. importance of IT risk within the enterprise
- C. effectiveness of risk response options
- D. alignment of response to industry standards

**Answer:** C

#### NEW QUESTION 469

- (Exam Topic 2)

Who should be accountable for monitoring the control environment to ensure controls are effective?

- A. Risk owner
- B. Security monitoring operations
- C. Impacted data owner
- D. System owner

**Answer:** A

#### NEW QUESTION 470

- (Exam Topic 2)

An external security audit has reported multiple findings related to control noncompliance. Which of the following would be MOST important for the risk practitioner to communicate to senior management?

- A. A recommendation for internal audit validation
- B. Plans for mitigating the associated risk
- C. Suggestions for improving risk awareness training
- D. The impact to the organization's risk profile

**Answer:** B

#### NEW QUESTION 472

- (Exam Topic 2)

Which of the following will BEST help an organization select a recovery strategy for critical systems?

- A. Review the business impact analysis.
- B. Create a business continuity plan.
- C. Analyze previous disaster recovery reports.
- D. Conduct a root cause analysis.

**Answer:** A

#### NEW QUESTION 475

- (Exam Topic 2)

When reporting on the performance of an organization's control environment including which of the following would BEST inform stakeholders risk decision-making?

- A. The audit plan for the upcoming period
- B. Spend to date on mitigating control implementation
- C. A report of deficiencies noted during controls testing
- D. A status report of control deployment

**Answer:** C

#### NEW QUESTION 479

- (Exam Topic 2)

Which of the following is the MOST effective way to mitigate identified risk scenarios?

- A. Assign ownership of the risk response plan
- B. Provide awareness in early detection of risk.
- C. Perform periodic audits on identified risk.
- D. areas Document the risk tolerance of the organization.

**Answer:** A

#### NEW QUESTION 482

- (Exam Topic 2)

Which of the following BEST indicates effective information security incident management?

- A. Monthly trend of information security-related incidents
- B. Average time to identify critical information security incidents
- C. Frequency of information security incident response plan testing
- D. Percentage of high risk security incidents

**Answer:** B

**NEW QUESTION 486**

- (Exam Topic 2)

From a risk management perspective, which of the following is the PRIMARY benefit of using automated system configuration validation tools?

- A. Residual risk is reduced.
- B. Staff costs are reduced.
- C. Operational costs are reduced.
- D. Inherent risk is reduced.

**Answer:** A

**NEW QUESTION 487**

- (Exam Topic 2)

Which of the following provides the BEST evidence that risk responses have been executed according to their risk action plans?

- A. Risk policy review
- B. Business impact analysis (BIA)
- C. Control catalog
- D. Risk register

**Answer:** D

**NEW QUESTION 491**

- (Exam Topic 2)

An IT organization is replacing the customer relationship management (CRM) system. Who should own the risk associated with customer data leakage caused by insufficient IT security controls for the new system?

- A. Chief information security officer
- B. Business process owner
- C. Chief risk officer
- D. IT controls manager

**Answer:** B

**NEW QUESTION 492**

- (Exam Topic 2)

Which of the following is MOST important to ensure when continuously monitoring the performance of a client-facing application?

- A. Objectives are confirmed with the business owner
- B. Control owners approve control changes.
- C. End-user acceptance testing has been conducted
- D. Performance information in the log is encrypted

**Answer:** D

**NEW QUESTION 494**

- (Exam Topic 2)

Which of the following is the BEST way to support communication of emerging risk?

- A. Update residual risk levels to reflect the expected risk impact.
- B. Adjust inherent risk levels upward.
- C. Include it on the next enterprise risk committee agenda.
- D. Include it in the risk register for ongoing monitoring.

**Answer:** D

**NEW QUESTION 498**

- (Exam Topic 2)

An organization has opened a subsidiary in a foreign country. Which of the following would be the BEST way to measure the effectiveness of the subsidiary's IT systems controls?

- A. Implement IT systems in alignment with business objectives.
- B. Review metrics and key performance indicators (KPIs).
- C. Review design documentation of IT systems.
- D. Evaluate compliance with legal and regulatory requirements.

**Answer:** B

**NEW QUESTION 499**

- (Exam Topic 2)

A business manager wants to leverage an existing approved vendor solution from another area within the organization. Which of the following is the risk practitioner's BEST course of action?

- A. Recommend allowing the new usage based on prior approval.
- B. Request a new third-party review.
- C. Request revalidation of the original use case.
- D. Assess the risk associated with the new use case.

**Answer:** D

#### NEW QUESTION 501

- (Exam Topic 2)

During a risk assessment, the risk practitioner finds a new risk scenario without controls has been entered into the risk register. Which of the following is the MOST appropriate action?

- A. Include the new risk scenario in the current risk assessment.
- B. Postpone the risk assessment until controls are identified.
- C. Request the risk scenario be removed from the register.
- D. Exclude the new risk scenario from the current risk assessment

**Answer:** A

#### NEW QUESTION 503

- (Exam Topic 2)

Which of the following is the PRIMARY reason to have the risk management process reviewed by a third party?

- A. Obtain objective assessment of the control environment.
- B. Ensure the risk profile is defined and communicated.
- C. Validate the threat management process.
- D. Obtain an objective view of process gaps and systemic errors.

**Answer:** A

#### NEW QUESTION 508

- (Exam Topic 2)

Which of the following criteria is MOST important when developing a response to an attack that would compromise data?

- A. The recovery time objective (RTO)
- B. The likelihood of a recurring attack
- C. The organization's risk tolerance
- D. The business significance of the information

**Answer:** D

#### NEW QUESTION 512

- (Exam Topic 2)

The PRIMARY purpose of IT control status reporting is to:

- A. ensure compliance with IT governance strategy.
- B. assist internal audit in evaluating and initiating remediation efforts.
- C. benchmark IT controls with Industry standards.
- D. facilitate the comparison of the current and desired states.

**Answer:** D

#### NEW QUESTION 514

- (Exam Topic 2)

Which of the following provides the MOST helpful reference point when communicating the results of a risk assessment to stakeholders?

- A. Risk tolerance
- B. Risk appetite
- C. Risk awareness
- D. Risk policy

**Answer:** A

#### NEW QUESTION 518

- (Exam Topic 2)

The MOST important reason to aggregate results from multiple risk assessments on interdependent information systems is to:

- A. establish overall impact to the organization
- B. efficiently manage the scope of the assignment
- C. identify critical information systems
- D. facilitate communication to senior management

**Answer:** A

#### NEW QUESTION 523

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